



PATENT  
Customer Number 22,852  
Attorney Docket No. 6502.0036-12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Prior Application Art Unit: 2173 Prior Application Examiner: C. Dela Torre

SIR: This is a request for filing a

☒ Continuation ☐ Continuation-in-Part ☐ Divisional Application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. 09/628,300 filed July 28, 2000 of Patrick J. NAUGHTON, Charles H. CLANTON, III, James A. GOSLING, Chris WARTH, Joseph M. PALRANG, Edward H. FRANK, David A. LAVALLE, and R. Michael SHERIDAN, for GRAPHICAL USER INTERFACE FOR DISPLAYING AND NAVIGATING IN A DIRECTED GRAPH STRUCTURE

1. ☒ Enclosed is a complete copy of the prior application including the oath or Declaration and drawings, if any, as originally filed. The copy of the specification provided herewith is in the form of a substitute specification under 37 C.F.R. § 1.125. I hereby verify that the attached papers are a true copy of prior application Serial No. 09/628,300 as originally filed on July 28, 2000, which is incorporated herein by reference. I further verify that the enclosed substitute specification is a true copy of the substitute specification as originally filed in prior application Serial No. 09/628,300 on July 28, 2000.
2. ☐ Enclosed is a Request for Non-Publication of Application and Certification Under 35 U.S.C. § 122(b)(2)(B)(i).
3. ☒ Cancel claims 2-44 without prejudice or disclaimer.
4. ☒ A Preliminary Amendment is enclosed.
5. ☒ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 4 and 5 above.

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Basic Application Filing Fee					\$740	\$ 740.00
	Number of Claims		Basic	Extra Claims		
Total Claims	8	-	20	0	x \$18	0
Independent Claims	3	-	3	0	x \$84	0
<input type="checkbox"/> Presentation of Multiple Dep. Claim(s)					+\$280	0
Subtotal						\$ 740.00
Reduction by 1/2 if small entity						- 0
TOTAL APPLICATION FILING FEE						\$ 740.00

6. ☒ A check in the amount of \$740.00 to cover the filing fee is enclosed.
7. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
8. ☒ Amend the specification by inserting before the first line, the following new paragraph:
- This is a continuation of application Serial No.09/628,300, filed July 28, 2000, which is a continuation of application Serial No. 08/406,578, filed March 20, 1995, which is a divisional of application Serial No. 08/067,574, filed May 24, 1993, all of which are incorporated herein by reference.
9. ☐ New formal drawings are enclosed.
10. ☒ The prior application is assigned of record to: Sun Microsystems, Inc.
11. ☐ Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. § 119. A certified copy
- ☐ is enclosed or ☐ is on file in the prior application.
12. ☐ Small entity status is appropriate and applies to this application.
13. ☐ The power of attorney in the prior application is to at least one of the following: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338;

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14. ☐ The power appears in the original declaration of the prior application.
15. ☒ Since the power does not appear in the original declaration, a copy of the power submitted in prior application 08/406,578, filed March 20, 1995 is enclosed.

16. ☒ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315, **Customer Number 22,852.**
17. ☐ Recognize as associate attorney \_\_\_\_\_
18. ☐ Also enclosed is an Information Disclosure Statement

**PETITION FOR EXTENSION.** If any extension of time is necessary for the filing of this application, including any extension in the parent application, serial no. 09/628,300, filed July 28, 2000, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916. A duplicate copy of this paper is enclosed for use in charging the deposit account.

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: January 23, 2002

By:

*Jeffrey A. Berkowitz* Reg No 24,014  
for Reg. No. 36,743

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JAB/SBC

**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

In Re Application of: Patrick J. NAUGHTON et al.

Rule 1.53(b) Continuation Application of  
Serial No. 09/628,300, filed July 28, 2000

Group Art Unit: Unknown

Filed: Herewith

Examiner: Unknown

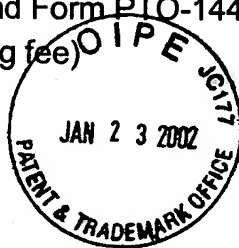
For: GRAPHICAL USER INTERFACE FOR DISPLAYING AND NAVIGATING IN A  
DIRECTED GRAPH STRUCTURE

1. Transmittal of 1.53(b) Continuation Application
2. Copy of prior application Serial No. 09/628,300, filed July 28, 2000 (113 pages) including 8 claims (3 independent), Abstract, and Drawings (26 Sheets, including Figures 1a-24)
3. Copy of Three (3) Declarations
4. Copy of Power of Attorney from prior application Serial No. 08/406,578, filed March 20, 1995
5. Preliminary Amendment
6. Information Disclosure Statement, and Form PTO-1449
7. Check in the amount of \$740.00 (filing fee)

Dated: January 23, 2002

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JAB/SBC:lad - Mail Drop 852



(Due Date: January 28, 2002)

Dkt  
1-24-02  
WJ

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